

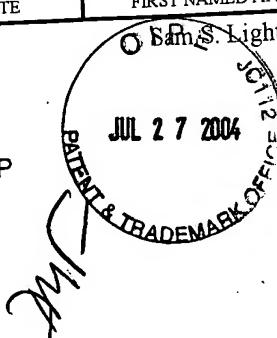


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/788,583	02/27/2004	James S. Lightstone	CA920030120US1

CONFIRMATION NO. 6838  
 FORMALITIES LETTER

  
 \*OC000000012736342\*

24852  
 INTERNATIONAL BUSINESS MACHINES CORP  
 IP LAW  
 555 BAILEY AVENUE, J46/G4  
 SAN JOSE, CA 95141

Date Mailed: 05/21/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**  
**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

07/28/2004 MAHMED1 00000031 090460 10788583

01 FC:1051 130.00 DA

*A copy of this notice **MUST** be returned with the reply.*

*aw*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Docket No.: CA920030120US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: **Sam S. Lightstone** Assignee: **International Business Machines Corporation**  
Application No.: **10/788,583** Customer No.: **24852**  
Confirmation No.: **6838** Group Art Unit: **2171**  
Filing Date: **02/27/04** Examiner: **Unknown**

Title **Method, System and Program for Optimizing Compression of a Workload Processed by a Database Management System**

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

July 21, 2004

Person mailing paper/fee: Jeanette Berry Souza

Signature:

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Transmitted herewith in the above-identified application are:

Response to Notice to File Missing Parts of Nonprovisional Application  
 Notice to File Missing Parts of Nonprovisional Application mailed 05/21/2004  
thereon  
 Declaration and Power of Attorney  
 Copy of Multiple Dependent Claim Fee Calculation Sheet  
 Return Postcard

Authorization is hereby made to charge the amount of \$ 130.00 for the Missing Parts filing fee to deposit account number 09-0460  
 Charge any additional fees required by this paper or credit any overpayment to deposit account number 09-0460  
 A duplicate of this paper is attached.

Respectfully submitted,

Nicola J. Lambert, Reg # 41,138 *Nicola J. Lambert*  
International Business Machines Corporation  
Intellectual Property Law (J46/G4)  
555 Bailey Avenue - San Jose, CA 95141-9989